

Sr No.	000
Date	

DEMAT A/C NO									
CKYC No.									
NDML/CVLKRA									



B. LODHA SECURITIES LIMITED

REGISTERED OFFICE: B. L. House, 578, Mahaveer Nagar, Tonk Road, Jaipur – 18.

Tel.: 91 – 141 – 2550711 / 2550115 Fax: 91 – 141 – 2723708

CORPORATE OFFICE: 6 B, Raja Bahadur Compound, 32 Ambalal Doshi Marg, Fort, Mumbai – 400 001.

Tel.: 91 – 022 – 22671585 / 86 Fax: 91 – 022 – 22671587

E-mail: b_lodha_sec@rediffmail.com Web: www.blodhaonline.com

IG Email Id: blodhainvestors@rediffmail.com

DEPOSITORY PARTICIPANT - NSDL

DP ID: IN303606 SEBI REGN. NO.: IN – DP – NSDL – 525 - 2020

CLIENT NAME: _____

FORM-9

PART I - KNOW YOUR CLIENT (KYC) APPLICATION FORM (For Individuals)

B. LODHA SECURITIES LIMITED

REGISTERED OFFICE: B. L. House, 578, Mahaveer Nagar, Tonk Road, Jaipur – 18.

Tel.: 91 – 141 – 2550711 / 2550115 Fax: 91 – 141 – 2723708

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E-mail : b_lodha_sec@rediffmail.com Web : www.blodhaonline.com

IG Email Id : blodhainvestors@rediffmail.com

Please fill this form in ENGLISH and in BLOCK LETTERS

A. IDENTITY DETAILS

Photograph

Please affix your
recent passport size
photo

Signature Across
photograph

1	Name of the Applicant												
2	Father's / Husband's Name												
3	a) Gender	<input type="checkbox"/> Male <input type="checkbox"/> Female	b) Marital status	<input type="checkbox"/> Single <input type="checkbox"/> Married	c) Date of Birth	D	D	M	M	Y	Y	Y	Y
4	a) Nationality	<input type="checkbox"/> Indian <input type="checkbox"/> Other (Please specify, _____)	a) Status	<input type="checkbox"/> Resident Individual <input type="checkbox"/> Non Resident <input type="checkbox"/> Foreign National									
5	a) PAN		b) Aadhaar Number, if any										
6	Specify the proof of identity submitted		<input type="checkbox"/> PAN card <input type="checkbox"/> Any other (Please specify; _____)										

B. ADDRESS DETAILS

1	Residence / Correspondence Address	<input type="checkbox"/> Correspondence Address		<input type="checkbox"/> Residence Address					
		_____ _____ _____							
		City/town/village		PIN Code					
		State		Country					
2	Specify the proof of address submitted for Residence / correspondence address								
3	Contact Details	Tel. (Off.)			Tel. (Res.)				
	Family Flag	Fax No.			Mobile No.				
	Mobile No : <input type="checkbox"/> Email ID : <input type="checkbox"/>	Email ID							
4	Permanent Address (If different from above. Mandatory for Non-Resident Applicant to specify overseas address)	_____ _____ _____							
		City/town/village		PIN Code					
		State		Country					

X _____
(Sole / First Holder)

X _____
(Second Holder)

X _____
(Third Holder)

C. DECLARATION																		
I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it.																		
Signature of the Applicant _____										Date	D	D	M	M	Y	Y	Y	Y

=====

FOR OFFICE USE ONLY														
Sr. No.	Particulars													
1	<input type="checkbox"/> Originals verified and Self-Attested Document copies received													
2	In-Person-Verification (IPV) details:													
	a)	Name of the person doing IPV												
	b)	Designation												
	c)	Name of Organization												
	d)	Signature												
	e)	Date						D	D	M	M	Y	Y	Y
Name & Signature of the Authorised Signatory _____										Seal/Stamp of the intermediary				
Date						D	D	M	M				Y	Y

X _____ X _____ X _____
(Sole / First Holder) (Second Holder) (Third Holder)

FORM-9

PART II – ACCOUNT OPENING FORM (FOR INDIVIDUALS)

B. LODHA SECURITIES LIMITED IN303606 B.L.House,578 Mahaveer Nagar, Tonk Road,,Jaipur – 18.	Client –ID (To be filled by Participant)							

I/We request you to open a depository account in my/our name as per the following details: *(Please fill all the details in CAPITAL LETTERS only)*

A)		Details of Account holder(s):	
Account holder(s)	Sole/ First Holder	Second Holder	Third Holder
Name			
PAN			
Occupation (please tick any one and give brief details)	<input type="checkbox"/> Private Sector	<input type="checkbox"/> Agriculturist	<input type="checkbox"/> Private Sector
	<input type="checkbox"/> Public Sector	<input type="checkbox"/> Retired	<input type="checkbox"/> Public Sector
	<input type="checkbox"/> Government Service	<input type="checkbox"/> Housewife	<input type="checkbox"/> Government Service
	<input type="checkbox"/> Business	<input type="checkbox"/> Student	<input type="checkbox"/> Business
	<input type="checkbox"/> Professional	<input type="checkbox"/> Others (Please specify; _____)	<input type="checkbox"/> Professional
Brief details:			

B)	For Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., although the account is opened in the name of the natural persons, the name & PAN of the Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., should be mentioned below:	
a) Name		b)PAN

C)	Type of account	
	<input type="checkbox"/> Ordinary Resident <input type="checkbox"/> Qualified Foreign Investor <input type="checkbox"/> Margin	<input type="checkbox"/> NRI-Repatriable <input type="checkbox"/> Foreign National <input type="checkbox"/> Others (Please specify) _____

D)	Gross Annual Income Details	
	Income Range per annum (please tick any one)	
	<input type="checkbox"/> Below ` 1 lac <input type="checkbox"/> ` 10- 25 lac	<input type="checkbox"/> ` 1- 5 lac <input type="checkbox"/> More than ` 25 lac

E)	In case of NRIs/ Foreign Nationals	
	RBI Approval Reference Number	
	RBI Approval date	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>

X _____ X _____ X _____
 (Sole / First Holder) (Second Holder) (Third Holder)

F)	Bank details												
1	Bank account type <input type="checkbox"/> Savings Account <input type="checkbox"/> Current Account <input type="checkbox"/> Others (Please specify)_____												
2	Bank Account Number												
3	Bank Name												
4	Branch Address												
City/town/village					PIN Code								
State					Country								
5	MICR Code												
6	IFSC												

G)	Please tick, if applicable: <input type="checkbox"/> Politically Exposed Person (PEP) <input type="checkbox"/> Related to a Politically Exposed Person (PEP)												
----	--	--	--	--	--	--	--	--	--	--	--	--	--

H)	Standing Instructions												
1	I/We authorise you to receive credits automatically into my/our account.									<input type="checkbox"/> Yes <input type="checkbox"/> No			
2	Account to be operated through Power of Attorney (PoA)									<input type="checkbox"/> Yes <input type="checkbox"/> No			
3	SMS Alert facility: <i>[Mandatory if you are giving Power of Attorney (PoA). Ensure that the mobile number is provided in the KYC Application Form]</i>												
	Sr. No.		Holder						Yes		No		
	1		Sole/First Holder						<input type="checkbox"/>		<input type="checkbox"/>		
	2		Second Holder						<input type="checkbox"/>		<input type="checkbox"/>		
	3		Third Holder						<input type="checkbox"/>		<input type="checkbox"/>		
4	Mode of receiving Statement of Account <i>[Tick any one]</i>		<input type="checkbox"/> Physical Form										
			<input type="checkbox"/> Electronic Form <i>[Read Note 4 and ensure that email ID is provided in KYC Application Form].</i>										

I)	Guardian Details <i>(where sole holder is a minor):</i> [For account of a minor, two KYC Application Forms must be filled i.e. one for the guardian and another for the minor <i>(to be signed by guardian)</i>]												
Guardian Name													
PAN													
Relationship of guardian with minor													

X _____
(Sole / First Holder)

X _____
(Second Holder)

X _____
(Third Holder)

☐ I/We wish to make a nomination. [As per details given below]

☐ I/We wish to cancel the nomination made by me/ us earlier and consequently all rights and liabilities in respect of beneficiary ownership in the securities held by me / us in the said account shall vest in me/ us. [Strike off the nomination details below]

I/We wish to make a nomination and do hereby nominate the following person(s) who shall receive all securities held in the Depository by me / us in the said beneficiary owner account in the event of my / our death.

Nomination can be made upto three nominees in the account.			Details of 1 st Nominee		Details of 2 nd Nominee		Details of 3 rd Nominee	
1	Name of the nominee(s) (Mr./Ms.)							
2	Share of each Nominee	Equally [If not equally, please specify percentage]	%		%		%	
			Any odd lot after division shall be transferred to the first nominee mentioned in the form.					
3	Relationship With the Applicant (If Any)							
4	Address of Nominee(s)							
	PIN Code							
5	Mobile/Telephone No. of nominee(s)							
6	Email ID of nominee(s)							
7	Nominee Identification details – [Please tick any one of following and provide details of same] <input type="checkbox"/> Photograph & Signature <input type="checkbox"/> PAN <input type="checkbox"/> Aadhaar <input type="checkbox"/> Saving Bank account no. <input type="checkbox"/> Proof of Identity <input type="checkbox"/> Demat Account <input type="checkbox"/> ID							
Sr. Nos. 8-14 should be filled only if nominee(s) is a minor:								
8	Date of Birth {in case of minor nominee(s)}							
9	Name of Guardian (Mr./Ms.) {in case of minor nominee(s) }							
10	Address of Guardian(s)							
	PIN Code							
11	Mobile/Telephone no. of Guardian							
12	Email ID of Guardian							
13	Relationship of Guardian with nominee							
14	Guardian Identification details – [Please tick any one of following and provide details of same] <input type="checkbox"/> Photograph&Signature <input type="checkbox"/> PAN <input type="checkbox"/> Aadhaar <input type="checkbox"/> Saving Bank account no. <input type="checkbox"/> Proof of Identity <input type="checkbox"/> Demat Account <input type="checkbox"/> ID							
Name(s) of holder(s)							Signature(s) of holder	
Sole/ First Holder (Mr./Ms.)							X	
Second Holder (Mr./Ms.)							X	
Third Holder (Mr./Ms.)							X	
Signature of Witness for Nomination								
Name of the Witness			Address			Signature of witness		
						Date D M Y M Y Y Y Y		

Notes:

1. The nomination can be made only by individuals holding beneficiary owner accounts on their own behalf singly or jointly. Non-individuals including society, trust, body corporate and partnership firm, karta of Hindu Undivided Family, holder of power of attorney cannot nominate. If the account is held jointly, all joint holders will sign the nomination form.
2. A minor can be nominated. In that event, the name and address of the Guardian of the minor nominee shall be provided by the beneficial owner.
3. The Nominee(s) shall not be a trust, society, body corporate, partnership firm, karta of Hindu Undivided Family or a power of Attorney holder. A non-resident Indian can be a Nominee, subject to the exchange controls in force, from time to time.
4. Nomination in respect of the beneficiary owner account stands rescinded upon closure of the beneficiary owner account. Similarly, the nomination in respect of the securities shall stand terminated upon transfer of the securities.
5. Transfer of securities in favour of a Nominee(s) shall be valid discharge by the depository and the Participant against the legal heir.
6. The cancellation of nomination can be made by individuals only holding beneficiary owner accounts on their own behalf singly or jointly by the same persons who made the original nomination. Non-individuals including society, trust, body corporate and partnership firm, karta of Hindu Undivided Family, holder of power of attorney cannot cancel the nomination. If the beneficiary owner account is held jointly, all joint holders will sign the cancellation form.
7. On cancellation of the nomination, the nomination shall stand rescinded and the depository shall not be under any obligation to transfer the securities in favour of the Nominee(s).
8. Nomination can be made upto three nominees in a demat account. In case of multiple nominees, the Client must specify the percentage of share for each nominee that shall total upto hundred percent. In the event of the beneficiary owner not indicating any percentage of allocation/share for each of the nominees, the default option shall be to settle the claims equally amongst all the nominees.
9. On request of Substitution of existing nominees by the beneficial owner, the earlier nomination shall stand rescinded. Hence, details of nominees as mentioned in the FORM 10 at the time of substitution will be considered. Therefore, please mention the complete details of all the nominees.
10. Copy of any proof of identity must be accompanied by original for verification or duly attested by any entity authorized for attesting the documents, as provided in Annexure D.
11. Savings bank account details shall only be considered if the account is maintained with the same participant.
12. DP ID and client ID shall be provided where demat details is required to be provided.

X _____
(Sole / First Holder)

X _____
(Second Holder)

X _____
(Third Holder)

Sr. Nos. 6-11 should be filled only if nominee is a minor:									
6	Date of Birth (in case of minor nominee)	D	D	M	M	Y	Y	Y	Y
7	Name of Guardian (Mr./Ms.) (in case of minor nominee)								
8	Address of Guardian								
	City/town/ village		PIN Code						
	State		Country						
9	Contact Details of Guardian	Tel. (Off.)		Tel. (Res.)					
		Fax No.		Mobile No.					
		Email ID							
10	Relationship of Guardian with nominee								

11. Guardian Identification details (please tick any one from (a) to (f) and provide details of the same)									
(a)	<input type="checkbox"/>	(i)	Photograph	(ii)	Signature				
			<div style="border: 1px solid black; padding: 5px; text-align: center;"> Photograph of guardian <i>(Signature of guardian across photograph)</i> </div>		X <i>Signature of guardian</i>				
(b)	<input type="checkbox"/>	PAN of guardian							
(c)	<input type="checkbox"/>	Aadhaar number of guardian							
(d)	<input type="checkbox"/>	Savings bank account number of guardian, if maintained with the same Participant		Bank Account Number					
(e)	<input type="checkbox"/>	Copy of any proof of identity document (accompanied by original for verification or duly attested by any entity authorized for attesting the documents, as provided in Annexure JB)							
(f)	<input type="checkbox"/>	Demat account details of guardian	DP ID						
			Client ID						

X _____
(Sole / First Holder)

X _____
(Second Holder)

X _____
(Third Holder)

Declaration

The rules and regulations of the Depository and Depository Participants pertaining to an account which are in force now have been read by me/us and I/we have understood the same and I/we agree to abide by and to be bound by the rules as are in force from time to time for such accounts. I/we hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/ we are aware that I/we may be held liable for it. In case non-resident account, I/we also declare that I/we have complied and will continue to comply with FEMA regulations. I/we acknowledge the receipt of copy of the document, "Rights and Obligations of the Beneficial Owner and Depository Participant".

Name(s) of holder(s)		Signature(s) of holder
Sole/ First Holder/ Guardian (in case sole holder is minor) (Mr./Ms.)		X
Second Holder (Mr./Ms.)		X
Third Holder (Mr./Ms.)		X

Rate Structure for Depository Services Effective from April 2018

Sr. no	Service	Individual	Corporate
1.	Dematerialisation	` . 50/- Per DRF	` .100/- Per DRF
2.	Rematerialisation	`.15/- Per Certificate Or 0.04 whichever is Higher	
3.	Equities- Transfer Fees- Market & Off Mar	`.15/- Per ISIN	`. 20/- Per ISIN Maximum of 0.04% of value
4.	Pledge Creation/Closure/Invocation	`. 100/-	`.100/-
5.	Account maintenance Charges Per Annum	`. 500/- Upfront	`.1500/- Upfront
6.	BSDA	(A) In case value of holding is less than Rs. 50000/- NIL AMC, (B) In case value of holding is more than rs. 50000/- but less than Rs. 200000/- Rs. 100 AMC shall be charge, (C) In case value of holding is more than Rs. 200000/- In that case normal AMC i.e 500 p.a	

Fees schedule based on existing NSDL charges and is subject to change at the sole discretion of the
B. Lodha Securities Limited after giving 30 days notice
Service Tax Extra as applicable

BSDA Facility : ☐ Yes ☐ No

I/WE hereby prefer to receive the Rights and Obligations documents and Risk Disclosure Document and Guidance Note-Do's & Don'ts Documents in

1 Electronic ☐ 2 Physical ☐ from (Tick as per preference)

Date : _____

Signature of BLSBPL Official _____

X _____
(Sole / First Holder)

X _____
(Second Holder)

X _____
(Third Holder)

Rights and Obligations of Beneficial Owner and Depository Participant as prescribed by

SEBI and Depositories

General Clause

1. The Beneficial Owner and the Depository participant (DP) shall be bound by the provisions of the Depositories Act, 1996, SEBI (Depositories and Participants) Regulations, 1996, Rules and Regulations of Securities and Exchange Board of India (SEBI), Circulars/Notifications/Guidelines issued there under, Bye Laws and Business Rules/Operating Instructions issued by the Depositories and relevant notifications of Government Authorities as may be in force from time to time.
2. The DP shall open/activate demat account of a beneficial owner in the depository system only after receipt of complete Account opening form, KYC and supporting documents as specified by SEBI from time to time.

Beneficial Owner information

3. The DP shall maintain all the details of the beneficial owner(s) as mentioned in the account opening form, supporting documents submitted by them and/or any other information pertaining to the beneficial owner confidentially and shall not disclose the same to any person except as required by any statutory, legal or regulatory authority in this regard.
4. The Beneficial Owner shall immediately notify the DP in writing, if there is any change in details provided in the account opening form as submitted to the DP at the time of opening the demat account or furnished to the DP from time to time.

Fees/Charges/Tariff

5. The Beneficial Owner shall pay such charges to the DP for the purpose of holding and transfer of securities in dematerialized form and for availing depository services as may be agreed to from time to time between the DP and the Beneficial Owner as set out in the Tariff Sheet provided by the DP. It may be informed to the Beneficial Owner that "no charges are payable for opening of demat accounts"
6. In case of Basic Services Demat Accounts, the DP shall adhere to the charge structure as laid down under the relevant SEBI and/or Depository circulars/directions/notifications issued from time to time.
7. The DP shall not increase any charges/tariff agreed upon unless it has given a notice in writing of not less than thirty days to the Beneficial Owner regarding the same.

Dematerialization

8. The Beneficial Owner shall have the right to get the securities, which have been admitted on the Depositories, dematerialized in the form and manner laid down under the Bye Laws, Business Rules and Operating Instructions of the depositories.

Separate Accounts

9. The DP shall open separate accounts in the name of each of the beneficial owners and securities of each beneficial owner shall be segregated and shall not be mixed up with the securities of other beneficial owners and/or DP's own securities held in dematerialized form.
10. The DP shall not facilitate the Beneficial Owner to create or permit any pledge and /or hypothecation or any other interest or encumbrance over all or any of such securities submitted for dematerialization and/or held in demat account except in the form and manner prescribed in the Depositories Act, 1996, SEBI (Depositories and Participants) Regulations, 1996 and Bye-Laws/Operating Instructions/Business Rules of the Depositories.

Transfer of Securities

11. The DP shall effect transfer to and from the demat accounts of the Beneficial Owner only on the basis of an order, instruction, direction or mandate duly authorized by the Beneficial Owner and the DP shall maintain the original documents and the audit trail of such authorizations.
12. The Beneficial Owner reserves the right to give standing instructions with regard to the crediting of securities in his demat account and the DP shall act according to such instructions.

X _____

(Sole / First Holder)

X _____

(Second Holder)

X _____

(Third Holder)

Statement of account

13. The DP shall provide statements of accounts to the beneficial owner in such form and manner and at such time as agreed with the Beneficial Owner and as specified by SEBI/depository in this regard.

14. However, if there is no transaction in the demat account, or if the balance has become Nil during the year, the DP shall send one physical statement of holding annually to such BOs and shall resume sending the transaction statement as and when there is a transaction in the account.

15. The DP may provide the services of issuing the statement of demat accounts in an electronic mode if the Beneficial Owner so desires. The DP will furnish to the Beneficial Owner the statement of demat accounts under its digital signature, as governed under the Information Technology Act, 2000. However if the DP does not have the facility of providing the statement of demat account in the electronic mode, then the Participant shall be obliged to forward the statement of demat accounts in physical form.

16. In case of Basic Services Demat Accounts, the DP shall send the transaction statements as mandated by SEBI and/or Depository from time to time.

Manner of Closure of Demat account

17. The DP shall have the right to close the demat account of the Beneficial Owner, for any reasons whatsoever, provided the DP has given a notice in writing of not less than thirty days to the Beneficial Owner as well as to the Depository. Similarly, the Beneficial Owner shall have the right to close his/her demat account held with the DP provided no charges are payable by him/her to the DP. In such an event, the Beneficial Owner shall specify whether the balances in their demat account should be transferred to another demat account of the Beneficial Owner held with another DP or to rematerialize the security balances held.

18. Based on the instructions of the Beneficial Owner, the DP shall initiate the procedure for transferring such security balances or rematerialize such security balances within a period of thirty days as per procedure specified from time to time by the depository. Provided further, closure of demat account shall not affect the rights, liabilities and obligations of either the Beneficial Owner or the DP and shall continue to bind the parties to their satisfactory completion.

Default in payment of charges

19. In event of Beneficial Owner committing a default in the payment of any amount provided in Clause 5 & 6 within a period of thirty days from the date of demand, without prejudice to the right of the DP to close the demat account of the Beneficial Owner, the DP may charge interest at a rate as specified by the Depository from time to time for the period of such default.

20. In case the Beneficial Owner has failed to make the payment of any of the amounts as provided in Clause 5&6 specified above, the DP after giving two days notice to the Beneficial Owner shall have the right to stop processing of instructions of the Beneficial Owner till such time he makes the payment along with interest, if any.

Liability of the Depository

21. As per Section 16 of Depositories Act, 1996,

1. Without prejudice to the provisions of any other law for the time being in force, any loss caused to the beneficial owner due to the negligence of the depository or the participant, the depository shall indemnify such beneficial owner.

2. Where the loss due to the negligence of the participant under Clause (1) above, is indemnified by the depository, the depository shall have the right to recover the same from such participant.

Freezing/ Defreezing of accounts

22. The Beneficial Owner may exercise the right to freeze/defreeze his/her demat account maintained with the DP in accordance with the procedure and subject to the restrictions laid down under the Bye Laws and Business Rules/Operating Instructions.

23. The DP or the Depository shall have the right to freeze/defreeze the accounts of the Beneficial Owners on receipt of instructions received from any regulator or court or any statutory authority.

Redressal of Investor grievance

24. The DP shall redress all grievances of the Beneficial Owner against the DP within a period of thirty days from the date of receipt of the complaint.

X _____

(Sole / First Holder)

X _____

(Second Holder)

X _____

(Third Holder)

Authorized representative

25. If the Beneficial Owner is a body corporate or a legal entity, it shall, along with the account opening form, furnish to the DP, a list of officials authorized by it, who shall represent and interact on its behalf with the Participant. Any change in such list including additions, deletions or alterations thereto shall be forthwith communicated to the Participant.

Law and Jurisdiction

26. In addition to the specific rights set out in this document, the DP and the Beneficial owner shall be entitled to exercise any other rights which the DP or the Beneficial Owner may have under the Rules, Bye Laws and Regulations of the respective Depository in which the demat account is opened and circulars/notices issued there under or Rules and Regulations of SEBI.

27. The provisions of this document shall always be subject to Government notification, any rules, regulations, guidelines and circulars/notices issued by SEBI and Rules, Regulations and Bye-laws of the relevant Depository, where the Beneficial Owner maintains his/ her account, that may be in force from time to time.

28. The Beneficial Owner and the DP shall abide by the arbitration and conciliation procedure prescribed under the Bye-laws of the depository and that such procedure shall be applicable to any disputes between the DP and the Beneficial Owner.

29. Words and expressions which are used in this document but which are not defined herein shall unless the context otherwise requires, have the same meanings as assigned thereto in the Rules, Bye-laws and Regulations and circulars/notices issued there under by the depository and /or SEBI

30. Any changes in the rights and obligations which are specified by SEBI/Depositories shall also be brought to the notice of the clients at once.

31. If the rights and obligations of the parties hereto are altered by virtue of change in Rules and regulations of SEBI or Bye-laws, Rules and Regulations of the relevant Depository, where the Beneficial Owner maintains his/her account, such changes shall be deemed to have been incorporated herein in modification of the rights and obligations of the parties mentioned in this document.

Notes :

1. All communication shall be sent at the address of the Sole/First holder only.
2. Thumb impressions and signatures other than English or Hindi or any of the other language not contained in the 8th Schedule of the Constitution of India must be attested by a Magistrate or a Notary Public or a Special Executive Magistrate.
3. Instructions related to nomination, are as below:
 - I. The nomination can be made only by individuals holding beneficiary owner accounts on their own behalf singly or jointly. Non-individuals including society, trust, body corporate, partnership firm, karta of Hindu Undivided Family, holder of power of attorney cannot nominate. If the account is held jointly all joint holders will sign the nomination form.
 - II. A minor can be nominated. In that event, the name and address of the Guardian of the minor nominee shall be provided by the beneficial owner.
 - III. The Nominee shall not be a trust, society, body corporate, partnership firm, karta of Hindu Undivided Family or a power of Attorney holder. A non-resident Indian can be a Nominee, subject to the exchange controls in force, from time to time.
 - IV. Nomination in respect of the beneficiary owner account stands rescinded upon closure of the beneficiary owner account. Similarly, the nomination in respect of the securities shall stand terminated upon transfer of the securities.
 - V. Transfer of securities in favour of a Nominee shall be valid discharge by the depository and the Participant against the legal heir.
 - VI. The cancellation of nomination can be made by individuals only holding beneficiary owner accounts on their own behalf singly or jointly by the same persons who made the original nomination. Non- individuals including society, trust, body corporate, partnership firm, karta of Hindu Undivided Family, holder of power of attorney cannot cancel the nomination. If the beneficiary owner account is held jointly, all joint holders will sign the cancellation form.
 - VII. On cancellation of the nomination, the nomination shall stand rescinded and the depository shall not be under any obligation to transfer the securities in favour of the Nominee.
4. For receiving Statement of Account in electronic form:
 - I. Client must ensure the confidentiality of the password of the email account.
 - II. Client must promptly inform the Participant if the email address has changed.
 - III. Client may opt to terminate this facility by giving 10 days prior notice. Similarly, Participant may also terminate this facility by giving 10 days prior notice.
5. Strike off whichever is not applicable.

X_____

(Sole / First Holder)

X_____

(Second Holder)

X_____

(Third Holder)

Format of Request
[Please tick (v) wherever applicable]

DP ID	IN303606	Client ID :	Date	
Name of account holder				
<input type="checkbox"/> Mobile Number				
<input type="checkbox"/> Email ID				
I hereby declare that the aforesaid mobile number or E-mail ID belongs to <input type="checkbox"/> Me or <input type="checkbox"/> My family (spouse, dependent children and dependent parents). Client Ids\ & Name of account holders as Below.				
Signature of account holder				
Name of account holder				

X _____
(Sole / First Holder)

X _____
(Second Holder)

X _____
(Third Holder)

For New Accounts]

Option for opening Basic Services Demat Account (BSDA)

To,

Date:

--	--	--	--	--	--	--	--

B. LODHA SECURITIES LIMITED DP ID : IN303606
6 B, Raja Bahadur Compound, 32 Ambalal Doshi Marg,
Fort, Mumbai – 400 001.

With reference to my / our application for opening a depository account, I/ We request you to open my / our depository account as a BSDA as per the following details:

	Name	PAN									
Sole/First Holder											
Second Holder											
Third Holder											

I/We have read and understood the Securities and Exchange Board of India's guidelines for facility for a BSDA. I/We hereby declare that I/we am/are eligible to open a depository account as a BSDA holder and undertake to comply with the requirements specified by Securities and Exchange Board of India (SEBI) or any such authority for such facility from time to time. I/We also understand that in case I/We at any point of time do not meet the eligibility as a BSDA holder, my / our aforesaid account is liable to be converted to regular account.

X _____
(Sole / First Holder)

X _____
(Second Holder)

X _____
(Third Holder)

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Acknowledgement

Participant Name, Address & DP ID

Received the application from Mr/Ms_____ as the sole/first holder alongwith _____ and _____ as the second and third holders respectively for opening of a depository account. Please quote the DP ID & Client ID allotted to you in all your future correspondence.

Date:

D	D	M	M	Y	Y	Y	Y
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Participant Stamp & Signature